

TENURE UNIT STANDARD ROUTING SHEET

In support of the following academic policy statements, tenure unit performance standards will be maintained and made publicly available by the Office of the Provost's Faculty Records Team. Per policy, each of these sets of standards will be reviewed every five (5) years, submitted to the Office of the Provost using this routing form for all signatures.

- APS <u>900417</u>, Faculty Reappointment, Tenure, and Promotion of Tenured and Tenure-Track Faculty
- APS <u>980204</u>, Performance Evaluation of Tenured Faculty (Post-Tenure Review)
- APS <u>820317</u>, The Faculty Evaluation System of Tenured and Tenure-Track Faculty

Please note the following:

- Use a separate routing sheet for each set of tenure unit standards.
- Submit files in portable document format (PDF) only.
- Ensure the set of standards being submitted *have been approved* by the tenure unit *and* college dean.

Tenure Unit: General Business and Finance				
College/Unit:			□COM □COSET	<u>□</u> NGL
Standard: Promotion and Tenure		OPost-Tenure Review	Faculty Evaluation System (FES)	
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Department Chair

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College Dean

Provost & Sr. VP for Academic Affairs



College of Business Administration Department of Business Administration & Entrepreneurship

Promotion and Tenure (P&T) Standards

Prepared by the Tenured and Tenure-Track Faculty of the Department of Business Administration & Entrepreneurship

Fall 2022

Approved by:

Traci Austin, Ph. D. Associate Professor DPTAC Chair

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Joey Robertson, J.D. Professor Department Chair

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Academic Policy Statement (APS) 90417 (May 2022), "Faculty Reappointment, Tenure, and Promotion of Tenured and Tenure-Track Faculty" is the university policy that guides the promotion and tenure process at Sam Houston State University (SHSU). The SHSU Department of Business Administration & Entrepreneurship uses APS 90417 to guide the Department in processes, timelines, definitions, and requirements of the Promotion and Tenure procedures. These items apply universally across the university and the Department of Business Administration & Entrepreneurship adheres to those requirements.

Section 5 of APS 90417 allows the tenured and tenure-track faculty of the Department of Business fAdministration & Entrepreneurship to develop departmental specific standards of performance for Promotion and Tenure within the department subject to the approval of the department chair, college dean, and university provost.

The policy (90417) also defines the categories of performance as 1) Teaching, 2) Scholarly and/or Creative Accomplishments (the department substitutes the title "Intellectual Contributions" or "ICs"), and 3) Service.

During the Fall 2022 semester, the tenured and tenure-track faculty of the Department of Business Administration & Entrepreneurship developed the departmental standards for the three (3) categories of performance. The standards are attached hereto.

It is important to note that meeting the below criteria does not guarantee or confer an entitlement to promotion to associate or full professor.

Unique to the College of Business Administration (COBA)

COBA is accredited by the Association to Advance Collegiate Schools of Business (AACSB, International). One of the categories reviewed by AACSB is the faculty qualifications of the college's faculty. COBA determines the requirements for the various status levels of faculty qualifications. In the Department of Business Administration & Entrepreneurship, candidates for promotion and/or tenure must meet COBA's standards for faculty qualifications related to AACSB accreditation. In general, doctoral-qualified faculty should meet the "Scholarly Academic" or "Practice Academic" category. In no instance should a doctoral-qualified faculty member be "Other." Doctoral-qualified faculty classified as "Other" will not be tenured or promoted in the Department of Business Administration & Entrepreneurship.



For Promotion to Associate Professor and Tenure

APS 900417 (May 2022) Policy Standards related to Teaching (page 8):

- "Sustained effective teaching and mentoring of students as documented by student evaluations and peer and chair review. Other possible measures may include an exemplary record of academic advisement, supervision of student research, or thesis/dissertation direction, as appropriate for the discipline"
- "Sustained contribution to program support, such as course and curriculum development, innovations in teaching methodology, electronic instruction development, or participation in interdisciplinary academic programs"
- "Participation in professional development activities to update skills or to gain new expertise"

Department of Business Administration & Entrepreneurship Standards:

Candidates for promotion to associate professor and tenure will provide evidence of a sustained pattern of effective teaching and contribution to the academic program. Evidence of a sustained pattern may include but is not limited to:

- Sustained Pattern of Satisfactory Annual FES 1 and FES 2 scores.
- Positive Annual DPTAC Reviews.
- Positive Annual Probationary Faculty Reviews from the Department Chair.
- Contributions to the University, department, or college, including but not limited to, course and curriculum development, innovations in teaching methodology, electronic instruction development, or participation in interdisciplinary academic programs.
- Active involvement in teaching innovation, training and/or development.
- Evidence of teaching effectiveness.
- Outstanding innovation/motivation in the classroom promoting student success.
- Participation in professional development activities to update skills, gain new expertise, or maintain/attain professional designations relevant to the discipline or teaching.
- Substantial compliance with all mission-critical, teaching-related policies and standards of the department, college, and University.

Examples of teaching innovation, training, or development activities include, but are not limited to:

- New course preparation or redesigns
- New pedagogy or teaching activity
- Guest speakers or embedded writing tutors
- Substantial use of educational technology (e.g., Blackboard, GroupMe, TopHat, Flipgrid, InterviewStream)

• Pedagogical course certifications, fellowships, or programs completed

Evidence of teaching effectiveness includes, but is not limited to:

- Positive feedback, emails, or letters from students or administration
- Mentoring students and/or helping students with professional or academic advancement (e.g., letters, advising students, thesis or dissertation committee, honors contracts, independent studies, extra evaluation of student documents)
- Nomination or receipt of teaching or mentoring award

For Promotion to Professor

APS 900417 (May 2022) Policy Standards related to Teaching (page 9):

- "Sustained, effective teaching and mentoring of students as documented by student evaluations and peer and chair review. Other measures may include an exemplary record of academic advisement, supervision of student research, or thesis/dissertation direction, as appropriate for the discipline since the last promotion"
- "Leadership in program support, such as course and curriculum development, innovations in teaching methodology, electronic instruction development, participation in interdisciplinary programs, or mentoring of less-experienced faculty"
- "Participation in professional development activities to update skills or to gain new expertise"

Department of Business Administration & Entrepreneurship Standards:

Candidates for promotion to professor from associate professor will provide evidence of a sustained pattern of effective teaching and contribution to the academic program. Evidence of a sustained pattern may include:

- Sustained Pattern of Satisfactory Annual FES 1 and FES 2 scores.
- Positive Annual DPTAC Reviews.
- Positive Annual Reviews from the Department Chair.
- Evidence that course design, content, and delivery contribute to successful learning objectives and/or innovative course design, content, and/or delivery;
- Sustained evidence of leadership and participation in departmental, college, University, and/or professional teaching initiatives.
- Student and/or faculty mentoring, as evidenced by project deliverables, honor's contracts, student or faculty testimony, or oversight of student research grants, faculty course development, or other areas of pedagogy;
- Continued participation in professional development activities to update skills or to gain new expertise;
- Leadership in contributions to the university, college, and program support, such as course and curriculum development, innovations in teaching methodology, electronic instruction development, or participation in interdisciplinary academic programs;
- Participation in professional development activities to update skills, gain new expertise, or maintain/attain professional designations relevant to the discipline or teaching.
- Leadership in compliance with all mission-critical, teaching-related policies and standards of the department, college, and University.

College of Business Administration Department of Business Administration & Entrepreneurship Promotion and Tenure Standards Research Standards

For Promotion to Associate Professor and/or Tenure

APS 900417 (May 2022) Policy Standards related to Research (page 8) states: "sustained pattern of peerreviewed research/publications, creative activities, or scholarly work that contributes to the applicant's discipline; evidence of growth in quality/significance of scholarly or creative contributions"

Department of Business Administration & Entrepreneurship Standards:

Candidates for promotion to associate professor and tenure will provide a portfolio of adequate Intellectual Contributions, which shall include *Scholarly and/or Creative Accomplishments* as defined in the Department's FES 3 Standards. The portfolio of Intellectual Contributions will:

- Demonstrate a sustained pattern of peer-reviewed research/publications, and evidence of growth in quality/significance of scholarly or creative contributions.
- Provide evidence of a focused research agenda and increasing depth and/or breadth of exploration in one's scholarly activities over time.
- Exhibit rigor and originality as evidenced by the quality of the outputs. The quality of journal articles will be determined by the *Quality* and *Higher Quality* definitions used in the Department's FES 3 standards.
- Reflect sufficient quantity of outputs. Publications should average approximately one *Quality* publication per year. *Higher Quality* publications may allow for some flexibility in the average number expected.

In addition to published journal articles, the candidate may establish the adequacy of his or her research record for promotion and/or tenure with other contributions that include, but are not limited to, the following:

- Applied discipline-specific research validated by peers addressing a real-world case, problem, or organizational advancement.
- Textbook chapters, publications in practitioner journals or discipline-appropriate publications and other activities related to the candidate's research interests.
- Impact of the candidate's work as established through the citation of his/her work by other published academic studies or media outlets over the probationary period.
- The role and contributions of the candidate in published works, if known, by members of the department DPTAC committee through collaboration as co-authors.
- Creative activities, or scholarly work that contributes to the applicant's discipline;
- Instructional technology, patents or commercialization of research.
- Extenuating circumstances (e.g., tolling, extended illness) considered by the department DPTAC and chair.

For Promotion to Professor

APS 900417 (May 2022) Policy Standards related to Research (page 9) states: "leadership in peerreviewed research/publication, grantsmanship, creative activities, or scholarly work that contributes to the applicant's discipline; evidence of growth in quality/significance of scholarly or creative contributions; sustained contribution to the intellectual culture of the University"

Department of Business Administration & Entrepreneurship Standards:

Candidates for promotion to professor from associate professor will provide a portfolio of adequate intellectual contributions. The intellectual contributions will still include peer-reviewed journal articles and applied discipline-specific research validated by peers but may also include more emphasis on other types of other types of discipline-appropriate ICs such as presentations, research grants, collaborative endeavors with schools, industries, or civic agencies, policy analyses, independent consulting, and Supreme Court appellate briefs, and other activities related to the candidate's research interests. The portfolio of intellectual contributions will:

- Provide evidence of a focused research agenda resulting in depth and/or breadth of exploration in one's scholarly activities.
- Reflect sufficient quantity of outputs and demonstrate a sustained or improved record of publishing from time of promotion to Associate Professor and evidence of leadership, significance, and quality of scholarly or creative contributions.
- Exhibit rigor and originality as evidenced by the quality of the outputs. The quality of ICs will be determined by the *Quality* and *Higher Quality* definitions used in the Department's FES 3 standards. The quality of other outputs will be judged by their contribution to the establishment of the reputation of the candidate as an expert in the field.

The candidate may demonstrate additional considerations to establish the adequacy of his or her research record for promotion that include, but are not limited to, the following:

- Impact of the candidate's work as established through the citation of his/her work by other published academic studies or media outlets over the period since last promotion.
- Areas of focus in the candidate's research portfolio that reflect growing expertise and depth in a particular field (e.g., ICs built on previous work by the candidate, invited presentations, etc.).
- The assumption of leadership roles through the collaboration and mentoring of junior faculty in the creation and development of ICs.
- The enrichment of departmental- or college-level research culture through the initiation of research workshops.
- Extenuating circumstances (e.g., tolling, extended illness) considered by the department DPTAC and chair.



College of Business Administration Department of Business Administration & Entrepreneurship Promotion and Tenure Standards Service Standards

For Promotion to Associate Professor and Tenure

APS 900417 (May 2022) Policy Standards related to Service (page 8):

- "Sustained, documented service to the University, profession, or community, as appropriate for the discipline"
- "Demonstrated effectiveness as a contributing member in accomplishing the goals of the department/college/University"

Department of Business Administration & Entrepreneurship Standards:

Candidates for promotion to associate professor and tenure will provide evidence of a sustained pattern of impactful service to the University, profession, or community, as appropriate for the discipline by:

- Attending mandatory events and attending and contributing to non-mandatory events, of the department, college, and University; AND
- Serving on and contributing to various active committees of the department, college, university and/or academic, professional or community organizations;
- Participating in other discipline-appropriate service activities as outline in the FES 4 standards;
- Demonstrating effectiveness as a contributing member in accomplishing the goals of the department, college, or University;

For Promotion to Professor

APS 900417 (May 2022) Policy Standards related to Service (page 9):

- "Sustained, documented leadership in service to the University, profession, or community, as appropriate for the discipline"
- "Demonstrated leadership in accomplishing the goals of the department/college/University"

Department of Business Administration & Entrepreneurship Standards:

Candidates for promotion to professor from associate professor will demonstrate evidence of leadership and/or exemplary service impact, and demonstrate effectiveness as a contributing member in accomplishing the goals of the department, college, or University. There is a minimum expectation of significant leadership at the departmental, college, University, or professional level, as well as additional involvement in multiple other areas to be considered for promotion to full professor. This category includes service to students, colleagues, program, department, college, and the University; administrative and committee service; and service beyond the University to the profession, community, state, and/or nation, including academic- or professionally-related public service. In addition, the candidate will provide evidence of a sustained pattern of service leadership, such as:

- Creating and hosting a non-mandatory event of the department, college, or University.
- Chairing various active committees of the department, college, University or academic, professional or community organization.
- Leading a discipline-related academic, business, profession, or community organization.
- Serving as a conference chair/program chair or host.
- Serving as a journal editor, associate editor, or editorial board member of a discipline appropriate publication.
- Sponsoring and/or advising a discipline-appropriate student organization.

In addition to these examples, receiving a service award from the department, college, University and/or academic, professional or community organization can serve as demonstrated effectiveness showing accomplishment of service.



Sam Houston State University

COLLEGE OF BUSINESS ADMINISTRATION DEPARTMENT OF GENERAL BUSINESS AND FINANCE FINANCE AND BANKING FACULTY

Promotion and Tenure Standards

Approved by:

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T. Joey Robertson, J.D. Professor Department Chair

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Shar Self, Ph.D. Dean College of Business Administration



College of Business Administration Department of General Business and Finance¹ Finance and Banking Faculty Promotion and Tenure Standards

Academic Policy Statement (APS) 90417 (May 2022), "Faculty Reappointment, Tenure, and Promotion of Tenured and Tenure-Track Faculty" is the university policy that guides the promotion and tenure process at Sam Houston State University (SHSU). The SHSU Department of General Business and Finance uses APS 90417 to guide the Department in processes, timelines, definitions, and requirements of the Promotion and Tenure procedures. These items apply universally across the university and the Department of General Business and Finance adheres to those requirements.

Section 5 of APS 90417 allows the tenured and tenure-track faculty of the Department of General Business and Finance to develop departmental specific standards of performance for Promotion and Tenure within the department subject to the approval of the department chair, college dean, and university provost.

The policy (90417) also defines the categories of performance as 1) Teaching, 2) Scholarly and/or Creative Accomplishments, and 3) Service.

During the Fall 2022 semester, Finance and Banking tenured and tenure-track faculty met and developed the departmental standards for the three categories of performance. These are provided on the following pages.

Unique to the College of Business Administration (COBA)

COBA is accredited by the Association to Advance Collegiate Schools of Business (AACSB, International). One of the categories reviewed by AACSB is the faculty qualifications of the college's faculty. COBA determines the requirements for the various status levels of faculty qualifications. In the Department of General Business and Finance, candidates for promotion and/or tenure must meet COBA's standards for faculty qualifications related to AACSB accreditation. In general, doctoral qualified faculty should meet the "Scholarly Academic" or "Practice Academic" category. In no instance should a doctoral qualified faculty member be listed as "Additional Faculty." Faculty classified as "Additional Faculty" will not be tenured or promoted in the Department of General Business and Finance.

¹ All references to the Department of General Business and Finance in this document will be replaced by the term Department of Finance and Banking effective September 1, 2023, following the official split of the Department of General Business and Finance into two departments: the Department of Business Administration and Entrepreneurship and the Department of Finance and Banking.

Promotion and Tenure Standards - Teaching

For Promotion to Associate Professor and/or Tenure

APS 900417 (May 2022) Policy Standards related to Teaching (page 8):

- "Sustained effective teaching and mentoring of students as documented by student evaluations and peer and chair review. Other possible measures may include an exemplary record of academic advisement, supervision of student research, or thesis/dissertation direction, as appropriate for the discipline"
- "Sustained contribution to program support, such as course and curriculum development, innovations in teaching methodology, electronic instruction development, or participation in interdisciplinary academic programs"
- "Participation in professional development activities to update skills or to gain new expertise"

Finance and Banking Faculty Standards:

Candidates for promotion to associate professor and tenure will provide evidence of a sustained pattern of effective teaching and contribution to the academic program. Evidence of a sustained pattern may include:

- Sustained Pattern of Satisfactory Annual FES 1 and FES 2 scores.
- Annual discussions of tenured faculty during DPTAC meetings demonstrate positive reviews or identify improvements by the faculty member in teaching (if needed) with a positive recommendation by the DPTAC committee in the faculty member's final year of review.
- Annual reviews by the Department Chair demonstrate positive feedback or identify improvements by the faculty member in teaching (if needed) with a positive recommendation by the Department Chair in the faculty member's final year of review.
- Contributions to the university, college, and the Finance and/or Banking program, including but not limited to, course and curriculum development, innovations in teaching methodology, electronic instruction development, or participation in interdisciplinary academic programs.
- Participation in professional development activities to update skills, gain new expertise, or maintain/attain professional designations relevant to the Finance and/or Banking profession or teaching.
- Substantial compliance with all mission-critical, teaching-related policies and standards of the department, college, and university.

Promotion and Tenure Standards - Teaching

For Promotion to Professor and/or Tenure

APS 900417 (May 2022) Policy Standards related to Teaching (page 9):

- "Sustained, effective teaching and mentoring of students as documented by student evaluations and peer and chair review. Other measures may include an exemplary record of academic advisement, supervision of student research, or thesis/dissertation direction, as appropriate for the discipline since the last promotion"
- "Leadership in program support, such as course and curriculum development, innovations in teaching methodology, electronic instruction development, participation in interdisciplinary programs, or mentoring of less-experienced faculty"
- "Participation in professional development activities to update skills or to gain new expertise"

Finance and Banking Faculty Standards:

Candidates for promotion to professor from associate professor will provide evidence of a sustained pattern of effective teaching and contribution to the academic program. Evidence of a sustained pattern may include:

- Sustained Pattern of Satisfactory Annual FES 1 and FES 2 scores.
- Annual reviews by the Department Chair document satisfactory progress towards meeting the standards for promotion to full professor.
- Leadership in contributions to the university college, and the Finance and/or Banking program, including but not limited to, course and curriculum development, innovations in teaching methodology, electronic instruction development, or participation in interdisciplinary academic programs.
- Participation in professional development activities to update skills, gain new expertise, or maintain/attain professional designations relevant to the Finance and Banking profession or teaching.
- Leadership in compliance with all mission-critical, teaching-related policies and standards of the department, college, and university.

Promotion and Tenure Standards - Scholarly Activities and Creative Accomplishments

For Promotion to Associate Professor and/or Tenure

APS 900417 (May 2022) Policy Standards related to Research (page 8) states: "sustained pattern of peer-reviewed research/publications, creative activities, or scholarly work that contributes to the applicant's discipline; evidence of growth in quality/significance of scholarly or creative contributions"

Finance and Banking Faculty Standards:

Candidates for promotion to associate professor and tenure will provide a portfolio of adequate intellectual contributions. At this stage in one's career, the intellectual contributions will be heavily weighted towards peer-reviewed journal articles. The portfolio of intellectual contributions will:

- Provide evidence of a focused research agenda and increasing depth and/or breadth of exploration in one's scholarly activities over time.
- Exhibit rigor and originality as evidenced by the quality of the outputs. The quality of journal articles will be determined by the *Quality* and *Higher Quality* definitions used in the Department's FES 3 standards.
- Reflect sufficient quantity of outputs. Publications should average approximately one *Quality* publication per year. *Higher Quality* publications may allow for some flexibility in the average number expected.

In addition to published journal articles, the candidate may demonstrate additional considerations to establish the adequacy of his or her research record for promotion and/or tenure that include, but are not limited to, the following:

- Presentations, grants, consulting reports, textbook chapters, and other activities related to the candidate's research interests.
- Impact of the candidate's work as established through the citation of his/her work by other published academic studies or media outlets over the probationary period.
- The role and contributions of the candidate in published works, if known, by members of the department DPTAC committee through collaboration as coauthors.
- Extenuating circumstances (e.g., tolling, extended illness) considered by the department DPTAC and chair.

Promotion and Tenure Standards - Scholarly Activities and Creative Accomplishments

For Promotion to Professor and/or Tenure

APS 900417 (May 2022) Policy Standards related to Research (page 9) states: "leadership in peerreviewed research/publication, grantsmanship, creative activities, or scholarly work that contributes to the applicant's discipline; evidence of growth in quality/significance of scholarly or creative contributions; sustained contribution to the intellectual culture of the University"

Finance and Banking Faculty Standards:

Candidates for promotion to professor from associate professor will provide a portfolio of adequate intellectual contributions. At this stage in one's career, the intellectual contributions will still include peer-reviewed journal articles but may also include more emphasis on other types of outlets such as presentations, research grants, monographs, consulting reports, textbooks, technical manuals, and other activities related to the candidate's research interests. The portfolio of intellectual contributions will:

- Provide evidence of a focused research agenda resulting in depth and/or breadth of exploration in one's scholarly activities.
- Exhibit rigor and originality as evidenced by the quality of the outputs. The quality of journal articles will be determined by the *Quality* and *Higher Quality* definitions used in the Department's FES 3 standards. The quality of other outputs will be judged by their contribution to the establishment of the reputation of the candidate as an expert in the field.
- Reflect sufficient quantity of outputs. There should still be sufficient output of publications
 and other intellectual contributions to establish and maintain the reputation of the
 candidate as an expert in the field. Generally, the expectation is the candidate for promotion
 to professor will have double the number of publications expected for tenure and
 promotion to associate professor. Consideration shall be given for evidence of growth in
 leadership, quality, and/or significance of scholarly or creative contributions post-tenure."

The candidate may demonstrate additional considerations to establish the adequacy of his or her research record for promotion that include, but are not limited to, the following:

- Impact of the candidate's work as established through the citation of his/her work by other published academic studies or media outlets over the period since last promotion.
- Areas of focus in the candidate's research portfolio that reflect growing expertise and depth in a particular field (e.g., ICs built on previous work by the candidate, invited presentations, etc.).
- The assumption of leadership roles through the collaboration and mentoring of junior faculty in the creation and development of ICs.
- The enrichment of departmental or college-level research culture through the initiation of research workshops.
- Extenuating circumstances (e.g., tolling, extended illness) considered by the department DPTAC and chair.

Promotion and Tenure Standards - Service

For Promotion to Associate Professor and/or Tenure

APS 900417 (May 2022) Policy Standards related to Service (page 8):

- "Sustained, documented service to the University, profession, or community, as appropriate for the discipline"
- "Demonstrated effectiveness as a contributing member in accomplishing the goals of the department/college/University"

Finance and Banking Faculty Standards:

Candidates for promotion to associate professor and tenure will provide evidence of a sustained pattern of:

- Attending mandatory events and attending and contributing to non-mandatory events, of the department, college, and university; AND
- Serving on and contributing to various active committees of the department, college, university and/or academic, professional or community organization.
- Participating in other discipline appropriate service activities as outline in the FES 4 standards.

Promotion and Tenure Standards - Service

For Promotion to Professor and/or Tenure

APS 900417 (May 2022) Policy Standards related to Service (page 9):

- "Sustained, documented leadership in service to the University, profession, or community, as appropriate for the discipline"
- "Demonstrated leadership in accomplishing the goals of the department/college/University"

Finance and Banking Faculty Standards:

Candidates for promotion to professor from associate professor will continue to provide evidence of a sustained pattern of the activities outline for initial promotion to associate professor. In addition, the candidate will provide evidence of a sustained pattern of service leadership, such as:

- Creating and hosting a non-mandatory event of the department, college, or university.
- Chairing various active committees of the department, college, university or academic, professional or community organization.
- Leading a discipline-related academic, business, profession, or community organization.
- Serving as a conference chair/program chair or host.
- Serving as a journal editor, associate editor, or editorial board member of a discipline appropriate publication.
- Sponsoring and/or advising a discipline appropriate student organization

In addition to these examples, receiving a service award from the department, college, university and/or academic, professional or community organization can serve as demonstrated effectiveness showing accomplishment of service.